



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

~~Applicant:~~ Show-Mean Wu et al.

Serial No.: 10/637,142

Examiner: Unknown

Filed: August 8, 2003

Group Art Unit: 3763

For: CATHETER SHAFT FOR REGULATION OF INFLATION AND DEFILATION

Docket: 1001.1684101

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 4th day of November 2003.

By David M. Crompton

We are transmitting herewith the attached:

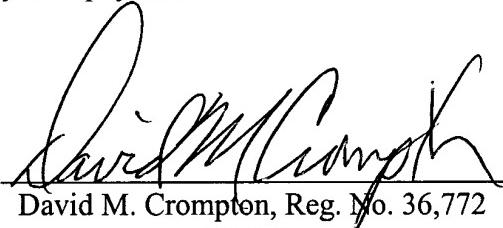
[] **Amendment**

[] No additional fee required

[] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

- A check in the amount of \$_____ is enclosed. Itemization:
Fee Code _____ \$
Fee Code _____ \$
Fee Code _____ \$
- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.
- Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND 21 CITED NON-US PATENT/PUBLICATION REFERENCES.
- Return Receipt Postcard (MPEP 503).
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772
Customer No. **28075**

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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Show-Mean Wu et al.

Serial No.: 10/637,142

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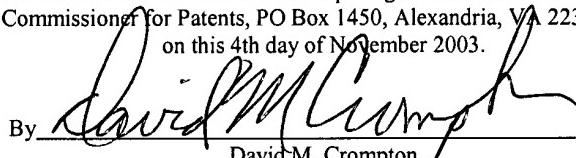
Group Art Unit: 3763

For: CATHETER SHAFT FOR REGULATIONS OF INFLATION AND DEFLATION

Docket No.: 1001.1684101

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
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on this 4th day of November 2003.

By 

David M. Crompton

Dear Sir:

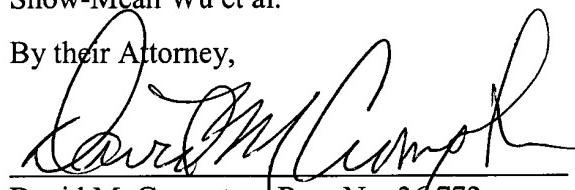
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

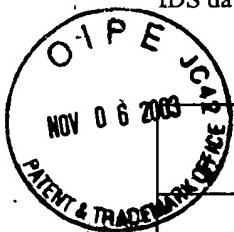
Show-Mean Wu et al.

By their Attorney,



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Date: 11/4/03



FORM PTO-1449		Atty. Docket No.: 1001.1684101	Serial No.: 10/637,142
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Show-Mean Wu et al.	
		Filing Date: August 8, 2003	Group Art: 3763

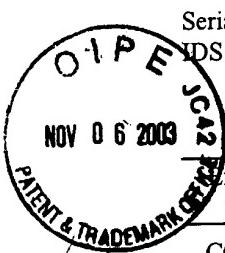
U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	RE 32,983	07/11/1989	Levy	
AB	RE 33,561	03/26/1991	Levy	
AC	2,816,552	12/17/1957	Hoffman	
AD	3,174,851	03/23/1965	Buehler et al.	
AE	3,351,463	11/07/1967	Rozner et al.	
AF	3,635,223	01/18/1972	Klieman	
AG	3,749,085	07/31/1973	Willson et al.	
AH	3,753,700	08/21/1973	Harrison et al.	
AI	3,990,453	11/09/1976	Douvas et al.	
AJ	4,140,126	02/20/1979	Choudhury	
AK	4,141,364	02/27/1979	Schultze	
AL	4,263,236	04/21/1981	Briggs et al.	
AM	4,273,128	06/16/1981	Lary	
AN	4,292,974	10/06/1981	Fogarty et al.	
AO	4,406,656	09/27/1983	Hattler et al.	
AP	4,465,072	08/14/1984	Taheri	
AQ	4,490,421	12/25/1984	Levy	
AR	4,572,186	02/25/1986	Gould et al.	
AS	4,574,781	03/11/1986	Chin	
AT	4,608,984	09/02/1986	Fogarty	
AU	4,627,436	12/09/1986	Leckrone	
AV	4,685,458	08/11/1987	Leckrone	
AW	4,686,982	08/18/1987	Nash	
AX	4,696,667	09/29/1987	Masch	

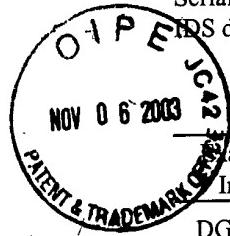


Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AY	4,705,517	11/10/1987	DiPisa, Jr.	
AZ	4,723,549	02/09/1988	Wholey et al.	
BA	4,728,319	03/01/1988	Masch	
BB	4,747,405	05/31/1988	Leckrone	
BC	4,748,982	06/07/1988	Horzewski et al.	
BD	4,784,636	11/15/1988	Rydell	
BE	4,787,388	11/29/1988	Hofmann	
BF	4,790,813	12/13/1988	Kensey	
BG	4,793,348	12/27/1988	PalmaZ	
BH	4,796,629	01/10/1989	Grayzel	
BI	4,799,479	01/24/1989	Spears	
BJ	4,886,061	12/12/1989	Fischell et al.	
BK	4,921,483	05/01/1990	Wijay et al.	
BL	4,921,484	05/01/1990	Hillstead	
BM	4,936,845	06/26/1990	Stevens	
BN	4,966,604	10/30/1990	Reiss	
BO	5,009,659	04/23/1991	Hamlin et al.	
BP	5,030,201	07/09/1991	Palestrant	
BQ	5,042,985	08/27/1991	Elliott et al.	
BR	5,047,040	09/10/1991	Simpson et al.	
BS	5,049,132	09/17/1991	Shaffer et al.	
BT	5,078,722	01/07/1992	Stevens	
BU	5,078,725	01/07/1992	Enderle et al.	
BV	5,085,662	02/04/1992	Willard	
BW	5,087,265	02/11/1992	Summers	
BX	5,100,424	03/31/1992	Jang et al.	
BY	5,100,425	03/31/1992	Fischell et al.	
BZ	5,102,390	04/07/1992	Crittenden et al.	
CA	5,116,318	05/26/1992	Hillstead	
CB	5,135,482	08/04/1992	Neracher	

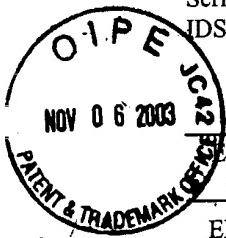
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CC	5,152,773	10/06/1992	Redha	
CD	5,156,594	10/20/1992	Keith	
CE	5,156,610	10/20/1992	Reger	
CF	5,158,564	10/27/1992	Schnepf-Pesch et al.	
CG	5,176,693	01/05/1993	Pannek, Jr.	
CH	5,180,368	01/19/1993	Garrison	
CI	5,196,024	03/23/1993	Barath	
CJ	5,209,749	05/11/1993	Buelna	
CK	5,209,799	05/11/1993	Vigil	
CL	5,226,430	07/13/1993	Spears et al.	
CM	5,226,887	07/13/1993	Farr et al.	
CN	5,248,311	09/28/1993	Black et al.	
CO	5,250,059	10/05/1993	Andreas et al.	
CP	5,300,025	04/05/1994	Wantink	
CQ	5,318,576	06/07/1994	Plassche, Jr. et al.	
CR	5,320,634	06/14/1994	Vigil et al.	
CS	5,328,472	07/12/1994	Steinke et al.	
CT	5,336,234	08/09/1994	Vigil et al.	
CU	5,372,601	12/13/1994	Lary	
CV	5,395,361	03/07/1995	Fox et al.	
CW	5,411,466	05/02/1995	Hess	
CX	5,415,654	05/16/1995	Daikuzono	
CY	5,417,653	05/23/1995	Sahota et al.	
CZ	5,417,703	05/23/1995	Brown et al.	
DA	5,425,711	06/20/1995	Ressemann et al.	
DB	5,437,659	08/01/1995	Leckrone	
DC	5,441,510	08/15/1995	Simpson et al.	
DD	5,456,681	10/10/1995	Hajjar	
DE	5,496,308	03/05/1996	Brown et al.	
DF	5,507,760	04/16/1996	Wynne et al.	



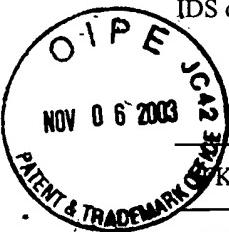
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DG	5,507,761	04/16/1996	Duer	
DH	5,522,818	06/04/1996	Keith et al.	
DI	5,522,825	06/04/1996	Kropf et al.	
DJ	5,549,556	08/27/1996	Ndondo-Lay et al.	
DK	5,569,195	10/29/1996	Saab	
DL	5,569,277	10/29/1996	Evans et al.	
DM	5,571,087	11/05/1996	Resselmann et al.	
DN	5,616,149	04/01/1997	Barath	
DO	5,628,761	05/13/1997	Rizik	
DP	5,643,296	07/01/1997	Hundertmark et al.	
DQ	5,645,529	07/08/1997	Fagan et al.	
DR	5,681,336	10/28/1997	Clement et al.	
DS	5,713,913	02/03/1998	Lary et al.	
DT	5,718,684	02/17/1998	Gupta	
DU	5,720,724	02/24/1998	Resselmann et al.	
DV	5,792,105	08/11/1998	Lin et al.	
DW	5,827,201	10/27/1998	Samson et al.	
DX	5,860,954	01/19/1999	Ropiak	
DY	5,895,402	04/20/1999	Hundertmark et al.	
DZ	5,931,819	08/03/1999	Fariabi	
EA	6,024,722	02/15/2000	Rau et al.	
EB	6,039,699	03/21/2000	Viera	
EC	6,066,149	05/23/2000	Samson et al.	
ED	6,068,623	05/30/2000	Zadno-Azizi et al.	
EE	6,139,510	10/31/2000	Palermo	
EF	6,142,975	11/07/2000	Jalisi et al.	
EG	6,165,140	12/26/2000	Ferrera	
EH	6,165,167	12/26/2000	Delaloye	
EI	6,165,292	12/26/2000	Abrams et al.	
EJ	6,168,571	01/02/2001	Solar et al.	



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EK	6,190,332	02/20/2001	Muni et al.	
EL	6,193,686	02/27/2001	Estrada et al.	
EM	6,217,549	04/17/2001	Selmon et al.	
EN	6,217,567	04/17/2001	Zadno-Azizi et al.	
EO	6,241,690	06/05/2001	Burkett et al.	
EP	6,254,550	07/03/2001	McNamara et al.	
EQ	6,306,105	10/23/2001	Rooney et al.	
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ET	6,383,146	05/07/2002	Klint	
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EV	6,398,798	06/04/2002	Selmon et al.	
EW	6,409,863	06/25/2002	Williams et al.	
EX	6,425,882	07/30/2002	Vigil	
EY	6,458,076	10/01/2002	Pruitt	
EZ	6,471,673	10/29/2002	Kasterhofer	
FA	6,533,754	03/18/2003	Hisamatsu et al.	
FB	6,544,276	04/08/2003	Azizi	

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	Document No.	Date	Country	Translation Yes No
FC	DE 34 00 416 A1	07/18/1985	Germany	Yes
FD	DE 3402573	08/22/1985	Germany	Yes
FE	DE 35 19 626 A1	12/04/1986	Germany	Yes
FF	EP 0 291 170 A1	11/17/1988	Europe	
FG	EP 0 414 350 A1	02/27/1991	Europe	
FH	GB 1 547 328	06/13/1979	United Kingdom	
FI	WO 90/07909 A1	07/26/1990	WIPO	
FJ	WO 91/17714 A1	11/28/1991	WIPO	



OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

K	Lary, Banning G., et al., "A Method for Creating a Coronary-Myocardial Artery," <u>Surgery</u> , June 1966, Vol. 59, No. 6, pp. 1061-1064.
FL	Lary, Banning G., "A Method to Create and Correct Stenosis of a Coronary Artery," <u>Archives of Surgery</u> , November 1966, Vol. 93, pp. 828-830.
FM	Lary, Banning G., "An Epicardial Purse String Suture for Closing Coronary Arteriotomy," <u>The American Surgeon</u> , March 1967, Vol. 33, No. 3, pp. 213-214.
FN	Lary, Banning G., "Coronary Artery Incision and Dilation," <u>Archives of Surgery</u> , December 1980, Vol. 115, pp. 1478-1480.
FO	Lary, Banning G., "Coronary Artery Resection and Replacement by a Blood Conduit," <u>Surgery</u> , April 1969, Vol. 65, No. 4, pp. 584-589.
FP	Lary, Banning G., "Effect of Endocardial Incisions on Myocardial Blood Flow," <u>Archives of Surgery</u> , September 1963, Vol. 87, pp. 424-427.
FQ	Lary, B.G., "Experimental Maintenance of Life by Intravenous Oxygen, Preliminary Report," <u>Clinical Congress of the American College of Surgeons</u> , San Francisco, November 5-9, 1951, pp. 30-35.
FR	Lary, Banning G., et al., "Experimental Vein Angioplasty of the Circumflex Coronary Artery," <u>Journal of Surgical Research</u> , September 1974, Vol. 17, No. 3, pp. 210-214.
FS	Lary, Banning G., "Method for Increasing the Diameter of Long Segments of the Coronary Artery," <u>The American Surgeon</u> , January 1966, Vol. 32, No. 1, pp. 33-35.
FT	Lary, Banning G., et al., "Myocardial Revascularization Experiments Using the Epicardium," <u>Archives of Surgery</u> , January 1969, Vol. 98, pp. 69-72.
FU	Lary, Banning G., "Onlay Vein Graft for the Correction of Coronary Artery Obstruction," <u>Surgery</u> , April 1966, Vol. 59, No. 4, pp. 547-551.
FV	Lary, Banning G., "Surgery for Coronary Artery Disease," <u>Nursing Clinics of North America</u> , September 1967, Vol. 2, No. 3, pp. 537-542.
FW	Lary, Banning G., et al., "The 'Coronary Myocardial Artery' for Coronary Artery Disease," <u>Diseases of the Chest</u> , April 1996, Vol. 49, No. 4, pp. 412-419.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.